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HAMRE, SCHUMANN, MUELLER & LARSON, P.C.

AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION

December 14, 2005

TO:

Mail Stop: AMENDMENT

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1603USWO

TELEPHONE: (612) 455.3800

Total pages, including cover letter:

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PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document:

Information Disclosure Statement, Form 1449, 1 reference, copy of European Search Report

Applicant:

KITTAKA, et al.

Serial No.:

10/519,817

App. Filed:

December 29, 2004

Group Art No.: Unknown

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Name: Dauglas P. Mueller

Reg. No.: (30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Peggy J. Kerkhove

December 14, 2005

Date

12/14/2005 14:40 612-45-3801

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DEC 1 4 2005

S/N

10/519,817

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KITTAKA, et al.

Examiner:

Unknown

Serial No.:

10/519.817

Group Art Unit:

Unknown

Filed:

December 29, 2004

Docket:

10873.1603USWO

Title:

PHOTONIC CRYSTAL OPTICAL WAVEGUIDE

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on December 14, 2005.

Name: Peggy Karkhove

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in a Supplemental European Search Report mailed September 16, 2005. A copy is enclosed

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Also, in accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that <u>each item</u> of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign document or other information listed on the enclosed Form 1449 is provided. As this application was filed after June 30, 2003, copies of the cited U.S. Patent Documents are not included.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. Box 2902-0902

Minneapolis, MN 55402-0903

Dated: December 14, 2005

Reg. No. 30,300

DPM/pjk

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Date Mailed; December 14, 2005

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 10873.1603USWO	Application Number: 10/519,817		
IN AN APPLICATION	Applicant; Kittako, et al.			
(Use several sheets if necessary)	Filing Date: December 29, 2004	Group Art Unit: Unknown		

		บ.:	S. PATENT DOCUMEN	rs			
EXAMINER INITIAL	DOCUMENT NO.	CUMENT NO. DATE NAME CLASS		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6.301.421	October, 2001	Wickham, et al.				
	6,334,019	December, 2001	Birks, et al.				- ···
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
	00/49435	August, 2000	WIPO				
							<u> </u>
	отн	ER DOCUMENTS	(Including Author, Title, I	Date, Pertinent Pa	ages, Etc.)		
4							
							A-1

52835	
ATENT TRADEMARK OFFICE	

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 4/36 * RCVD AT 12/14/2005 3:51:57 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:612-455-3801 * DURATION (mm-ss):07-14



P.B.5818 - Patentizan 2 2290 HV Rijswijk (ZH) 32 (070) 3 40 20 40 FAX (070) 3 40 30 16 Europälsches Patentamt European Patent Office Office européen des brevets

Generaldirektion 1

Directorate General 1

Direction générale 1

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Dote

16.09.05

Reterence
N9774-EP
Application No./Palent No.
03741261.6 - 2216 PCT/JP0308639

Applicant/Proprietor
Nippon Sheet Glass Company, Limited

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the supplementary European search report under Article 157(2)(a) EPC for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

Refund of the search fee

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.







SUPPLEMENTARY EUROPEAN SEARCH REPORT

Application Number EP 03 74 1261

	Clindon of document with In	dication, where appropriate.	Pelavost	O ACCIDIOATION OF THE
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X A	WO 00/49435 A (THE CRUSSELL, PHILIP, ST ADA) 24 August 2000 * abstract; figures * page 6, lines 6-2! * page 4, lines 13-	. JOHN; BIRKS, TIMÓTHY, (2000-08-24) 8,4 * 5 *	1-5,7-19 6	GO2B6/12 GO2B6/00 GO2B6/20 GO2B6/122 GO2B6/14 GO2B6/34
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	The supplementary search reposet of claims valid and available	rt has been based on the last at the start of the search.		
	Place of search	Date of completion of the search		Examinar
X : par Y : par	Munich CATEGORY OF CITED DOCUMENTS rikularly relevant if taken alone ricularly relevant if combined with anot current of the same category	6 September 2005 T: theory or princip E: earlier patent of after the filling de b: document ched L: document ched	te underlying the cument, but publi te in the application	ilshed on, or

PAGE 6/36 * RCVD AT 12/14/2005 3:51:57 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:612-455-3801 * DURATION (mm-ss):07-14





ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 03 74 1261

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This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

06-09-2005

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